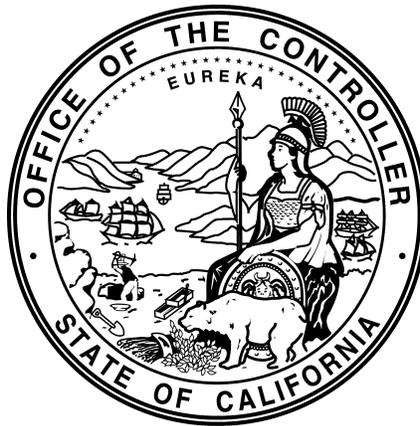


# **STATEMENT of GENERAL FUND CASH RECEIPTS and DISBURSEMENTS**

**October 2001**



**KATHLEEN CONNELL**  
California State Controller



**KATHLEEN CONNELL**  
*Controller of the State of California*

November 9, 2001

Users of the Statement of General Fund Cash Receipts and Disbursements

Attached is the Statement of General Fund Cash Receipts and Disbursements for the period July 1, 2001 through October 31, 2001. This statement reflects the State of California's General Fund cash position and compare actual receipts and disbursements for the 2001-02 fiscal year to cash flow estimates prepared by the Department of Finance for the 2001-02 Budget Act. The statement is prepared in compliance with Government Code Section 12461.1, as well as Item 0840-001-0001, Provision 10, of the 2001-02 Budget Act, using records compiled by the State Controller.

These statements are also available on the Internet at the State Controller's homepage at <http://www.sco.ca.gov> under the category Statements of General Fund Cash Receipts and Disbursements.

Any questions concerning this report may be directed to Walter Barnes, Chief Deputy Controller, Finance at (916) 445-7447.

Sincerely,

Original Signed By:

**KATHLEEN CONNELL**  
State Controller

**STATEMENT OF GENERAL FUND CASH RECEIPTS AND DISBURSEMENTS**  
**A Comparison of Actual to 2001-02 Budget Act Estimates**  
**(Amounts in thousands)**

	July 1 through October 31				2000
	2001		Actual Over or (Under) Estimate		
	Actual	Estimate (a)	Amount	%	
<b>GENERAL FUND BEGINNING CASH BALANCE</b>	<b>\$ 3,394,277</b>	<b>\$ 3,394,277</b>	<b>\$ -</b>	<b>-</b>	<b>\$ 8,531,322</b>
Add Receipts:					
Revenues	19,083,992	19,775,952	(691,960)	(3.5)	20,613,951
Nonrevenues	1,055,289	1,075,586	(20,297)	(1.9)	209,206
Total Receipts	20,139,281	20,851,538	(712,257)	(3.4)	20,823,157
Less Disbursements:					
State Operations	7,430,438	7,370,765	59,673	0.8	6,183,234
Local Assistance	22,737,666	23,646,770	(909,104)	(3.8)	19,529,766
Capital Outlay	145,998	554,416	(408,418)	(73.7)	587,420
Nongovernmental	146,531	290,031	(143,500)	(49.5)	(109,000)
Total Disbursements	30,460,633	31,861,982	(1,401,349)	(4.4)	26,191,420
Receipts Over / (Under) Disbursements	(10,321,352)	(11,010,444)	689,092	-	(5,368,263)
Net Increase / (Decrease) in Temporary Loans	6,927,075	7,616,167	(689,092)	(9.0)	-
<b>GENERAL FUND ENDING CASH BALANCE</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,163,059</b>
Special Fund for Economic Uncertainties (b)	-	-	-	-	815,641
<b>TOTAL CASH</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>	<b>\$ 3,978,700</b>
<b>BORROWABLE RESOURCES</b>					
Available Borrowable Resources	\$ 17,558,570	\$ 14,815,273	\$ 2,743,297	18.5	\$ 9,719,592
Outstanding Loans	6,927,075	7,616,167	(689,092)	(9.0)	-
Unused Borrowable Resources	\$ 10,631,495	\$ 7,199,106	\$ 3,432,389	47.7	\$ 9,719,592

**General Note:**

This report is based upon funded cash. Funded cash is cash reported to and recorded in the records of the State Controller's Office. Amounts reported as funded cash may differ from amounts in other reports to the extent there are timing differences in the recording of in-transit items.

**Footnotes:**

- (a) A Statement of Estimated Cash Flow for the 2001-02 fiscal year prepared by the Department of Finance for the Budget Act of 2001. Any projections or estimates are set forth as such and not as representations of fact.
- (b) Includes the Disaster Response-Emergency Operations Account within the Special Fund for Economic Uncertainties.
- (c) Negative balances are the result of repayments received that are greater than disbursements made.
- (d) Excludes State School Building Bonds.

**SCHEDULE OF CASH RECEIPTS**

(Amounts in thousands)

	Month of October		July 1 through October 31				
	2001	2000	2001		2000		
			Actual	Estimate (a)	Actual Over or (Under) Estimate Amount	%	Actual
<b>REVENUES</b>							
Alcoholic Beverage Excise Tax	\$ 22,679	\$ 15,827	\$ 104,245	\$ 105,563	\$ (1,318)	(1.2)	\$ 92,117
Bank and Corporation Tax	252,326	292,143	1,524,382	1,765,387	(241,005)	(13.7)	2,110,982
Cigarette Tax	8,969	5,235	42,491	43,879	(1,388)	(3.2)	37,496
Horse Racing Fees	177	101	1,826	-	1,826	-	1,271
Estate, Inheritance, and Gift Tax	108,336	90,294	315,659	327,173	(11,514)	(3.5)	302,907
Insurance Companies Tax	11,963	8,271	360,298	356,504	3,794	1.1	330,903
Personal Income Tax	2,337,779	2,494,794	10,300,296	11,108,001	(807,705)	(7.3)	11,310,212
Retail Sales and Use Taxes	946,773	787,131	5,941,639	5,689,005	252,634	4.4	6,066,016
Pooled Money Investment Interest	-	105,740	163,637	158,111	5,526	3.5	254,765
Not Otherwise Classified	50,961	28,782	329,519	222,329	107,190	48.2	107,282
<b>Total Revenues</b>	<b>3,739,963</b>	<b>3,828,318</b>	<b>19,083,992</b>	<b>19,775,952</b>	<b>(691,960)</b>	<b>(3.5)</b>	<b>20,613,951</b>
<b>NONREVENUES</b>							
Transfers from Special Fund for Economic Uncertainties	-	440	-	-	-	-	440
Transfers from Other Funds	78,514	69,564	841,181	878,938	(37,757)	(4.3)	115,953
Transfers from Electric Power Fund	-	-	116,300	116,300	-	-	-
Miscellaneous	15,479	6,385	97,808	80,348	17,460	21.7	92,813
<b>Total Nonrevenues</b>	<b>93,993</b>	<b>76,389</b>	<b>1,055,289</b>	<b>1,075,586</b>	<b>(20,297)</b>	<b>(1.9)</b>	<b>209,206</b>
<b>Total Receipts</b>	<b>\$ 3,833,956</b>	<b>\$ 3,904,707</b>	<b>\$ 20,139,281</b>	<b>\$ 20,851,538</b>	<b>\$ (712,257)</b>	<b>(3.4)</b>	<b>\$ 20,823,157</b>

See notes on page 1.

**SCHEDULE OF CASH DISBURSEMENTS**

(Amounts in thousands)

	Month of October		July 1 through October 31				2000
			2001		Actual Over or		
	2001	2000	Actual	Estimate (a)	(Under) Estimate	Actual	
					Amount		%
<b>STATE OPERATIONS (c)</b>							
Legislative/Judicial/Executive	\$ 121,224	\$ 85,589	\$ 490,024	\$ 420,171	\$ 69,853	16.6	\$ 382,501
State and Consumer Services	33,066	39,398	152,008	152,247	(239)	(0.2)	153,959
Business, Transportation and Housing	(2,756)	(3,333)	10,823	12,480	(1,657)	(13.3)	7,236
Trade and Commerce	4,335	2,802	12,526	15,892	(3,366)	(21.2)	24,625
Resources	134,351	76,850	492,333	702,962	(210,629)	(30.0)	296,049
Environmental Protection Agency	17,290	17,063	200,964	283,216	(82,252)	(29.0)	52,896
Health and Human Services:							
Health Services	9,333	(7,016)	111,406	129,950	(18,544)	(14.3)	90,599
Mental Health Hospitals	66,067	51,380	191,717	190,999	718	0.4	168,861
Other Health and Human Services	77,754	21,058	285,841	214,477	71,364	33.3	155,863
Education:							
University of California	328,059	242,878	1,095,221	1,089,863	5,358	0.5	1,004,461
State Universities and Colleges	192,179	173,487	933,989	958,245	(24,256)	(2.5)	802,662
Other Education	24,478	21,961	92,065	95,751	(3,686)	(3.8)	72,414
Corrections and Youth Authority	478,318	392,874	1,744,798	1,345,057	399,741	29.7	1,543,584
General Government	75,735	68,963	405,601	483,791	(78,190)	(16.2)	403,318
Public Employees Retirement System	81,022	17,616	(12,680)	18,609	(31,289)	(168.1)	(9,189)
Debt Service (d)	411,657	514,744	1,223,802	1,257,055	(33,253)	(2.6)	1,031,724
Interest on Loans	-	1	-	-	-	-	1,671
<b>Total State Operations</b>	<b>2,052,112</b>	<b>1,716,315</b>	<b>7,430,438</b>	<b>7,370,765</b>	<b>59,673</b>	<b>0.8</b>	<b>6,183,234</b>
<b>LOCAL ASSISTANCE</b>							
Public Schools - K-12	3,253,870	2,231,320	9,160,927	9,593,957	(433,030)	(4.5)	7,859,969
Community Colleges	241,981	250,906	1,061,598	1,039,152	22,446	2.2	1,057,506
Contributions to State Teachers' Retirement System	96,187	138,886	679,399	679,399	-	-	725,500
Other Education	395,120	110,660	1,308,756	1,255,787	52,969	4.2	905,493
Corrections and Youth Authority	1,917	5,258	40,218	75,951	(35,733)	(47.0)	37,091
Dept. of Alcohol and Drug Program	35,257	4,963	174,946	151,287	23,659	15.6	35,254
Dept. of Health Services:							
Medical Assistance Program	852,622	645,857	3,327,807	3,696,234	(368,427)	(10.0)	2,665,259
Other Health Services	17,681	44,215	154,352	170,464	(16,112)	(9.5)	143,087
Dept. of Developmental Services	265,316	223,082	723,746	920,328	(196,582)	(21.4)	641,550
Dept. of Mental Health	234,943	9,818	342,415	188,396	154,019	81.8	36,457
Dept. of Social Services:							
SSI/SSP/IHSS	432,414	393,877	1,768,306	1,343,481	424,825	31.6	1,387,514
CalWORKs	326,373	358,968	1,589,849	1,291,539	298,310	23.1	1,582,161
Other Social Services	158,271	148,850	411,083	357,467	53,616	15.0	323,076
Tax Relief	244,029	168,599	752,921	932,023	(179,102)	(19.2)	851,345
School Facility Aid Program	-	-	-	10,910	(10,910)	(100.0)	-
Other Local Assistance	177,498	96,870	1,241,343	1,940,395	(699,052)	(36.0)	1,278,504
<b>Total Local Assistance</b>	<b>6,733,479</b>	<b>4,832,129</b>	<b>22,737,666</b>	<b>23,646,770</b>	<b>(909,104)</b>	<b>(3.8)</b>	<b>19,529,766</b>

See notes on page 1.

(Continued)

**SCHEDULE OF CASH DISBURSEMENTS (Continued)**

(Amounts in thousands)

	Month of October		July 1 through October 31				
	2001	2000	2001		2000		
			Actual	Estimate (a)	Actual Over or (Under) Estimate Amount	%	Actual
<b>CAPITAL OUTLAY</b>	<b>39,294</b>	<b>407,775</b>	<b>145,998</b>	<b>554,416</b>	<b>(408,418)</b>	<b>(73.7)</b>	<b>587,420</b>
<b>NONGOVERNMENTAL (c)</b>							
Transfer to Special Fund for Economic Uncertainties	-	-	-	-	-	-	-
Transfer to Other Funds	251,511	19,214	272,769	307,784	(35,015)	(11.4)	64,771
Transfer to Revolving Fund Advance:	(32,903)	26,015	112,066	(3,005)	115,071	-	75,297
State-County Property Tax Administration Program	-	-	15,062	15,062	-	-	10,673
Social Welfare Federal Fund	6,664	(71,726)	2,586	22,490	(19,904)	(88.5)	17,016
Tax Relief and Refund Account	(4,400)	1,900	-	6,700	(6,700)	(100.0)	1,900
Counties for Social Welfare	-	-	(255,952)	(59,000)	(196,952)	-	(278,657)
<b>Total Nongovernmental</b>	<b>220,872</b>	<b>(24,597)</b>	<b>146,531</b>	<b>290,031</b>	<b>(143,500)</b>	<b>(49.5)</b>	<b>(109,000)</b>
<b>Total Disbursements</b>	<b>\$ 9,045,757</b>	<b>\$ 6,931,622</b>	<b>\$ 30,460,633</b>	<b>\$ 31,861,982</b>	<b>\$ (1,401,349)</b>	<b>(4.4)</b>	<b>\$ 26,191,420</b>
<b>TEMPORARY LOANS</b>							
Special Fund for Economic Uncertainties	\$ (14,600)	\$ -	\$ 189,886	\$ 204,486	\$ (14,600)	(7.1)	\$ -
Other Internal Sources	(473,599)	-	1,037,189	1,711,681	(674,492)	(39.4)	-
Revenue Anticipation Notes	5,700,000	-	5,700,000	5,700,000	-	-	-
<b>Net Increase / (Decrease) Loans</b>	<b>\$ 5,211,801</b>	<b>\$ -</b>	<b>\$ 6,927,075</b>	<b>\$ 7,616,167</b>	<b>\$ (689,092)</b>	<b>(9.0)</b>	<b>\$ -</b>

See notes on page 1.

(Concluded)

**COMPARATIVE STATEMENT OF REVENUES RECEIVED**  
**All Governmental Cost Funds**  
**(Amounts in thousands)**

	July 1 through October 31			
	General Fund		Special Funds	
	2001	2000	2001	2000
<b>MAJOR TAXES, LICENSES, AND INVESTMENT INCOME:</b>				
Alcoholic Beverage Excise Taxes	\$ 104,245	\$ 92,117	\$ -	\$ -
Bank and Corporation Tax	1,524,382	2,110,982	4	20
Cigarette Tax	42,491	37,496	345,353	318,869
Estate, Inheritance, and Gift Tax	315,659	302,907	-	-
Horse Racing Fees	1,826	1,271	14,071	13,606
Insurance Companies Tax	360,298	330,903	572	-
Motor Vehicle Fuel Tax:				
Gasoline Tax	-	-	934,357	885,884
Diesel & Liquid Petroleum Gas	-	-	164,036	165,821
Jet Fuel Tax	-	-	668	808
Vehicle License Fees	-	-	709,752	1,103,355
Motor Vehicle Registration and Other Fees	-	-	640,895	647,029
Personal Income Tax	10,300,296	11,310,212	138	126
Retail Sales and Use Taxes	5,941,639	6,066,016	1,258,914	1,347,872
Pooled Money Investment Interest	163,637	254,765	371	98
<b>Total Major Taxes, Licenses, and Investment Income</b>	<b>18,754,473</b>	<b>20,506,669</b>	<b>4,069,131</b>	<b>4,483,488</b>
<b>NOT OTHERWISE CLASSIFIED:</b>				
Alcoholic Beverage License Fee	712	673	11,900	12,013
Electrical Energy Tax	-	-	60,432	54,329
Private Rail Car Tax	3	3	-	-
Penalties on Traffic Violations	-	-	22,433	23,283
Health Care Receipts	8,865	2,674	-	-
Revenues from State Lands	14,690	15,010	9,882	22,242
Abandoned Property	(5,252)	4,624	-	-
Trial Court Revenues	444	112	-	-
Miscellaneous	310,057	84,186	2,825,557	1,486,510
<b>Not Otherwise Classified</b>	<b>329,519</b>	<b>107,282</b>	<b>2,930,204</b>	<b>1,598,377</b>
<b>Total Revenues, All Governmental Cost Funds</b>	<b>\$ 19,083,992</b>	<b>\$ 20,613,951</b>	<b>\$ 6,999,335</b>	<b>\$ 6,081,865</b>

See notes on page 1.