

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
 Baker Anne E.

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
 Office of the State Controller
 Division, Board, Department, District, if applicable Your Position
 Executive Office Deputy Controller

▶ If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: See attached. Position: State Controller's Representative

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
- Multi-County _____ County of _____
- City of _____ Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2015, through December 31, 2015.
- or-** The period covered is ____/____/____, through December 31, 2015.
- Assuming Office:** Date assumed ____/____/____
- Leaving Office:** Date Left ____/____/____ (Check one)
 - The period covered is January 1, 2015, through the date of leaving office.
 - or-** The period covered is ____/____/____, through the date of leaving office.
- Candidate:** Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary (must complete) ▶ Total number of pages including this cover page: _____

Schedules attached

- Schedule A-1 - Investments** – schedule attached
- Schedule A-2 - Investments** – schedule attached
- Schedule B - Real Property** – schedule attached
- Schedule C - Income, Loans, & Business Positions** – schedule attached
- Schedule D - Income – Gifts** – schedule attached
- Schedule E - Income – Gifts – Travel Payments** – schedule attached

-or-

- None - No reportable interests on any schedule**

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
 (Business or Agency Address Recommended - Public Document)
 300 Capitol Mall, Suite 1850 Sacramento CA 95814
 DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
 (916) 327-1401 ABaker@SCO.CA.GOV

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 03/16/2016 Signature 

SCHEDULE A-1

Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name
 Anne E. Baker (SCO)

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/2015)	
				ACQUIRED	DISPOSED
Alibaba Group Holding Limited	e-Commerce	\$2,000 - \$10,000	Stock		
Alphabet Inc. Class A	Internet services and products	\$2,000 - \$10,000	Stock		
Alphabet Inc. Class C	Internet services and products	\$2,000 - \$10,000	Stock		
Amazon.Com Inc.*	e-Commerce	\$2,000 - \$10,000	Stock		
American International Group Inc Com*	Insurance	\$2,000 - \$10,000	Stock		
AON Plc*	Insurance	\$2,000 - \$10,000	Stock		
ARM Holdings Plc SPON ADR*	Semiconductors	\$2,000 - \$10,000	Stock		
Blackrock Inc.*	Investment management	\$2,000 - \$10,000	Stock		
Carnival Plc ADR*	Cruise operator	\$2,000 - \$10,000	Stock		
Catepillar Inc	Manufacturing, financial, and insurance products	\$2,000 - \$10,000	Stock		
Cisco Systems Inc.*	Networking equipment	\$2,000 - \$10,000	Stock		
Coca Cola Co Com	Beverage	\$2,000 - \$10,000	Stock		
Cummins Inc	Engine and power generation manufacturing	\$2,000 - \$10,000	Stock		
Danone SPON ADR*	Food products	\$2,000 - \$10,000	Stock		
Facebook Inc. CL A*	Social networking	\$2,000 - \$10,000	Stock		
General Motors Co *	Vehicle manufacturing	\$2,000 - \$10,000	Stock		
Goldman Sachs Group, Inc.*	Investment banking	\$2,000 - \$10,000	Stock		
Intel Corp.*	Semiconductors	\$2,000 - \$10,000	Stock		
JP Morgan Chase & Co.*	Banking	\$2,000 - \$10,000	Stock		
Microsoft Corp	Software	\$2,000 - \$10,000	Stock		
Monster Beverage Corp. COM*	Beverages	\$2,000 - \$10,000	Stock		
NOVO Nordisk ADR Denamrk ADR*	Pharmaceuticals	\$2,000 - \$10,000	Stock		
Oracle Corp.*	Computer technology	\$2,000 - \$10,000	Stock		
Qualcomm Inc.*	Semiconductors / Wireless Communications	\$2,000 - \$10,000	Stock		
SABMiller Plc SPON ADR*	Beverages	\$2,000 - \$10,000	Stock		
SEI Investments Co.*	Financial services	\$2,000 - \$10,000	Stock		
Ten Cent Holdings Ltd ADR	Internet services and products	\$2,000 - \$10,000	Stock		
VISA Inc. CL A*	Financial services	\$2,000 - \$10,000	Stock		
Wells Fargo & Co. NEW*	Banking	\$2,000 - \$10,000	Stock		
Cisco Systems Inc.MW +20 BP NTS*	Networking equipment	\$2,000 - \$10,000	Corporate bond / note		
Equity Residential PPTYs 0BP NTS*	Real estate investments	\$2,000 - \$10,000	Corporate bond / note		
Capital One Financial Corp. NTS*	Financial services	\$2,000 - \$10,000	Corporate bond / note		
ConocPhillips CDA FDG *	Energy	\$2,000 - \$10,000	Corporate bond / note		
General Electric CAP Corp. Med Term NTS*	Financial services	\$2,000 - \$10,000	Corporate bond / note		
Morgan Stanley MW 30BP*	Financial services	\$2,000 - \$10,000	Corporate bond / note		
Bank of New York Mellon Corp B/E NTS*	Banking	\$2,000 - \$10,000	Corporate bond / note		
Prudential Financial Inc. 15 BP NTS*	Insurance	\$2,000 - \$10,000	Corporate bond / note		
Federal National Mortgage Association FHR K-012 A-2*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FHR K-AIV A-2*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FNMA PL AJ5336*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FNMA PL J18360*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FNMA PL MA1614*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FNMA PL AV5036*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		
Federal National Mortgage Association FNMA PL MA1908*	Mortgage financing	\$2,000 - \$10,000	Asset-backed security		

Schedule D
Income - Gifts

<BLUE> is a required field

Name
Anne E. Baker (SCO)

NAME OF SOURCE	ADDRESS OF SOURCE <i>(Business Address Acceptable)</i>	ZIP CODE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	DATE <i>(mm/dd/yy)</i>	VALUE	DESCRIPTION OF GIFT(S)
Monterey Bay Aquarium Foundation	886 Cannery Row Monterey, CA	93940	Charitable, non-profit foundation	03/24/15	\$107.96	Educational presentation and reception.

Form 700x Comments

Schedules A-1 through E Comments

CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION	700
Name	
Anne E. Baker (SCO)	

Schedule A-1 Comments

"Investments" shown in Schedule A are part of a Simplified Employee Pension (SEP) Individual Retirement Account (IRA), as managed and reported by UBS for this filing.

Schedule A-2 Comments

Schedule B Comments

Schedule C Comments

Schedule D Comments

Schedule E Comments